

Case No. **78186 (25-4 US)**

COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450



In re Application of: **BHARDWAJ ET AL.**

Serial No.: **09/977,065**

Filed: **OCTOBER 12, 2001**

For: **WAVEGUIDE STRESS ENGINEERING AND COMPATIBLE PASSIVATION IN PLANAR LIGHTWAVE CIRCUITS**

Sir:

Transmitted herewith is an amendment in the above-identified application.

☐ Small entity status of this application under 37 CFR § 1.27 has been established by a verified statement previously submitted

☐ A verified statement to establish small entity status under 37 CFR § 1.27 is enclosed.

☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)		SMALL ENTITY			LARGE ENTITY	
FOR:	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	FEE		RATE	FEE
TOTAL CLAIMS	42	45			X 9	\$	OR	X 18	\$
INDEPT CLAIMS	5	7			X 43	\$	OR	X 86	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ 135	\$	OR	+ 270	
					TOTAL ADD'L FEE			TOTAL ADD'L FEE	\$

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For: IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed.

☐ Authorization is given to charge Deposit Account **50-1465** in the amount of **\$.00** for additional claim fees.

☒ The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. **50-1465**.

☒ **PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD: CHARLES E. WANDS**

☒ Please associate this application with Customer No. 27975.

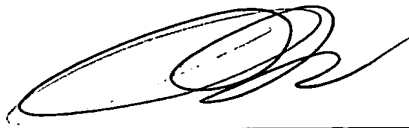


PATENT TRADEMARK OFFICE

27975

Telephone (321) 725-4760

December 10, 2003
DATE


CHARLES E. WANDS
REG. NO. 25,649

RECEIVED
DEC 29 2003
TECHNOLOGY CENTER 2800

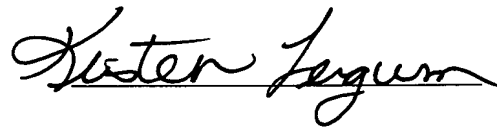
2882

41

In re Patent Application of:
BHARDWAJ ET AL
Serial No. 09/977,065
Filed: 10/12/2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on this 10 day of December, 2003.


Kister Ferguson